



## Andrew Katzen

Partner

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Andrew Katzen is a forensic and highly strategic solicitor whose broad legal expertise enables him to represent professionals – especially financial and legal professionals – facing complex challenges across multiple areas.

As well as being an accomplished financial criminal defence lawyer, Andrew is one of the country's leading regulatory and professional discipline experts. He is also an extradition specialist who has acted in some of the country's most high profile extradition and Mutual Legal Assistance matters.

Andrew provides specialist advice in relation to proceeds of crime, devising successful strategies for corporates and individuals facing complex – often cross-jurisdictional – anti-money laundering and asset recovery issues.

His extensive experience working across legal codes and practice areas gives him the broad legal vision to anticipate what would otherwise be unexpected legal hurdles. His specialist knowledge enables him to determine the best strategy for each individual client.

Andrew's client base consists of corporates and regulated professionals such as senior business executives, lawyers and doctors. In over 20 years of practice he has acted in some of the most country's most significant fraud-related criminal matters, as well as in some of the UK's most high profile regulatory and extradition matters.

He is a committee member of the Association of Regulatory & Disciplinary Lawyers, the Fraud Lawyers Association, the Financial Services Lawyers Association and the Health and Safety Lawyers Association. He co-authored a chapter in Butterworth's Fraud: Law Practice and Procedure and is a member of the Law Society's Anti Money Laundering Panel.

## Areas of Expertise

### Regulatory Investigations

Andrew Katzen is one of the country's foremost regulatory and professional discipline experts and represents individuals and corporate bodies under investigation by bodies such as the SRA, FRC, CQC, GMC and Ofsted.

He has particular expertise representing corporates and individuals facing investigation by the FCA. In over 20 years of practice he has acted in some of FCA's biggest and most high profile cases, representing clients accused of including insider dealing, market manipulation, benchmark manipulation and breaches of FCA principles.

Andrew's experience working across regulatory codes gives him the breadth of vision to apply successful tactics from one discipline to another. He has achieved significant successes representing many different types of professionals including lawyers, accountants, doctors and company directors.

Most of Andrew's clients in this practice area remain outside the public domain, however they include:

- A corporate and two directors accused of an unlawful collective investment scheme which the FCA alleged was a Ponzi fraud;
- A corporate insurance intermediary and its managing director accused by the FCA of mis-selling insurance;
- A corporate financial services firm accused of AML systems and controls concerning an 800 million Euros fraud;
- A solicitor and partner in a well-known London law firm accused by the SRA of misappropriating a large amount of funds from client account;
- A solicitor and partner in an international law firm accused by the SRA of tax evasion.

Andrew is a committee member of the Association of Regulatory & Disciplinary Lawyers (ARDL), is an active member of the seminar sub-committee and has contributed articles to its bulletin.

### Fraud, Corruption & Bribery

Andrew Katzen is a highly-accomplished criminal defence solicitor with extensive experience in fraud-related matters.

He plays a crucial senior role within the Financial Crime department, especially when criminal allegations against an individual intersect with regulatory and disciplinary issues, such as those enforced by the FCA, the FRC and the SRA.

Andrew's experience working across financial crime and regulatory and disciplinary law means he has the breadth of vision to identify issues where they intersect and to work with clients to find solutions.

Andrew has particular expertise in advising corporates on money laundering, bribery and financial sanctions as well as representing individuals facing allegations of financial misconduct.

Key clients have included senior executives caught up in the SFO investigations into Serco, Rolls-Royce and BAT as well as corporates and individuals in a number of high profile FCA matters. Andrew regularly gives seminars and lectures on a wide range of criminal and regulatory issues. He co-authored a chapter in Butterworth's Fraud: Law Practice and Procedure. He is a member of the Law Society's Anti Money Laundering Panel.

## Proceeds of Crime

Andrew Katzen leads Hickman & Rose's Proceeds of Crime practice. He provides strategic advice to corporates and individuals on anti-money laundering and asset recovery issues, and also acted in many complex restraint, confiscation and asset forfeiture cases.

Andrew's POCA work focuses on giving organisations and individuals advice on criminal liability and anti-money laundering obligations and practical advice on how to draft suspicious activity reports and how best to manage dealing with the National Crime Agency.

Widely admired for his excellent client care skills, Andrew's calm, judicious manner is greatly appreciated by clients for whom any failure to properly deal with money laundering risks could prove disastrous in terms of liability and reputation. He has insight into the commercial pressures that clients are subject to and how to balance these with regulatory compliance.

A member of the Law Society's Anti Money Laundering panel, Andrew regularly gives seminars and lectures on a wide range of criminal and regulatory issues including money laundering and the restraint and confiscation of assets.

## Cross Border Investigations

Extradition law expert Andrew Katzen leads Hickman & Rose's cross-border practice. While he has acted successfully in numerous high-profile hearings, much of his work in this practice area involves advising clients on extradition related matters, such as requests for mutual legal assistance in investigations and freedom of movement through Interpol Notices.

He has significant experience of cases involving US law enforcement and financial regulators as well as various European countries, the Middle East and in South East Asia.

Clients include Shrien Dewani the honeymoon murder extradition to South Africa.

A frequent media commentator on extradition issues, Andrew's calm and reassuring manner is greatly valued by clients who may be facing the prospect of life-altering court decision in another part of the world.

## Private Client

Andrew's private client work focuses on providing HNW and high profile individuals – as well as their families – with specialist legal advice pertaining to bribery, money laundering and issues related to

extradition and Mutual Legal Assistance.

A highly skilled and versatile lawyer with excellent legal foresight, he works in close collaboration with Ross Dixon and Ben Rose in order to ensure the chosen legal strategies takes into account every potential risk and opportunity.

Andrew is especially aware of the ‘reputation factor’ which makes HNW and high profile clients especially vulnerable to unproven allegations. He is expert in devising the legal strategies which take this into account and has helped achieve significant success in heading off investigations before any charges are brought.

While most of Andrew’s clients in this area remain outside the public domain, they include acting for the private office of one of the UK most wealthy individuals accused of regulatory offences.

## Health & Safety

Andrew’s health and safety practice focuses on providing specialist advice to corporates and institutions in relation to potential prosecution for safety breaches, particularly fatal ones, in the workplace.

He is expert in both criminal law relating to corporate manslaughter-type allegations, and also the various regulatory charges which can arise from serious workplace accidents.

Andrew works in close collaboration with colleagues in the firm’s inquest law team to help guide clients through what can be a highly confusing process, and one in which a clear strategy should be determined from as early as possible.

Key clients in this area include advising the trustees of a care home regarding corporate manslaughter and health and safety offences.

## What Others Say

- “Recommended Lawyer” in POCA & Asset Forfeiture, Financial Crime: Individuals – *Chambers UK, 2021*
- “Recommended Lawyer” in Financial Services: Contentious, Fraud: White Collar Crime, Professional Discipline – *Legal 500, 2021*